

- **Control surface failure:** In US Air's Boeing-737 the LOC resulting from the movement of the rudder surface to its blowdown limit as a result of a jam of the main rudder power control unit servo resulted in 132 fatalities.
- **Equipment damage:** In Alaska Airlines MD-83 the LOC resulting from the in-flight failure of the horizontal stabilizer trim system jackscrew assembly's acme nut threads resulted in 88 fatalities.
- **Change in flight characteristics of the aircraft:** In American Eagle Airlines ATR-72-212 where a LOC attributed to a sudden and unexpected aileron hinge moment reversal that occurred after a ridge of ice accreted beyond the deice boots while the airplane was in a holding pattern resulted in 68 fatalities.

Further study of these and many more LOC accidents performed by NASA-Langley and other research centers determined that often pilots trying to recover from a LOC typically found themselves trying to fly the airplanes out of the normal operational flight envelope and in attitudes where they typically were not trained to fly⁸. Figure 1 shows how a LOC accident puts the aircraft in the attitude well beyond the wind tunnel data envelope of what is considered a "normal" flight condition.

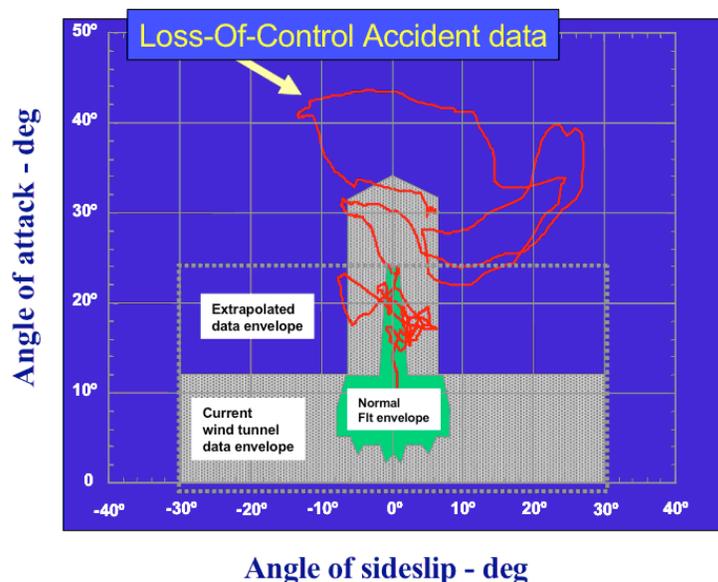


Figure 1: Loss of control accident data relative to angle of attack and angle of sideslip⁷

When a failure occurs, the following critical questions must be addressed: is the failure severe enough that only stability can be maintained to land the aircraft anywhere possible (loss of vertical tail in DC-10 in Sioux City Iowa) or is there sufficient control authority left to provide a measure of performance (engine out with sufficient rudder authority)? In the case of a severe failure, when only stability can be maintained, the control metrics that must be assessed include controllability and stability margins. Due to significant degradation of the flight envelope controllability bounds may no longer be symmetric, for example, left and right bank angles may be different. Clearly, the adaptive control system employed must guarantee that the new limits on dynamic maneuverability and limits on the remaining control surfaces and throttles are not violated for the remainder of the flight for the known initial conditions and in the presence of bounded disturbances. This clearly motivates the use of recently developed \mathcal{L}_1 adaptive control theory^{9,10} as a natural metric for the analysis of the adaptive control system. Furthermore, due to the presence of adaptive control the resulting feedback system is necessarily nonlinear, which requires the use of time-delay margin as a measure of robustness instead of the standard phase margin, typical of linear systems.

On the other hand, if the failure is less severe and a certain level for performance can be maintained, new metrics (in addition to the ones discussed above) can be used to assess performance of the adaptive control system. Clearly, the most important one would be landing the aircraft on a given runway. Thus

the adaptive control system must follow a predefined feasible path and must guarantee that the predicted lateral miss distance does not exceed the width of the runway. Therefore, the lateral miss distance at the touch down is a critical metric that must be used to evaluate performance of the adaptive control system. In addition, a certain modicum of passenger comfort such as lateral and normal g loads should not exceed some predefined bounds (depending on the nature of the failure) throughout the remainder of the flight in the presence of bounded disturbances and for given initial conditions. Again this motivates the use of the recently developed \mathcal{L}_1 adaptive control theory as a metric par excellence to evaluate the maximum g -loading produced by the actions of the adaptive control system.

In general, an actual LOC accident takes the airplane beyond the normal flight envelope into regions for which aerodynamic data are not available from conventional sources (see Fig. 1). There is still limitation of modeling aerodynamic force and moments at high angles of attack due to time-dependent effects, unsteady aero-effects, and the Reynolds number effects on wind tunnel test data. Figure 1 shows that the flight test data are not matched with the wind tunnel data at conventional stall angle of attack. There is also limitation on modeling the effects of the loss of control effectiveness on aircraft dynamics. Furthermore, the aerodynamic changes due to either individual actuator failure or combination of several actuators may not be adequately modeled *a priori*. Thus, lack of adequacy in modeling requires an application of new adaptive control algorithm and also stresses the importance of system identification methods, particular for the case of multidimensional systems.

The theory and practice of aircraft system identification has a long history and is very well established¹¹⁻¹³. Modern computational methods, wind-tunnel and flight testing can provide comprehensive data about the aerodynamic characteristics of an aircraft. Variety of existing numerical and experimental methods and techniques is classified so that a researcher has a well-defined roadmap to be used in choosing the right method and its application. Yet, many authors concur (see subject area review in Ref.¹¹) that although methods exist there is still room for new identification techniques and there exist many regimes and characteristics yet to be identified. New aerodynamic configurations, engines and flight control modes, without even accounting for LOC due to control surfaces failures (CSF), make system identification area active as never before. In particular for the problem at hand, system identification contributes to the design of adaptive stability augmentation system through (i) obtaining more accurate and comprehensive mathematical model of aircraft dynamics used at the design and simulation stages, and (ii) providing verifiable estimates of the remaining control authority when any adverse conditions occur.

Near optimal trajectory generation is another task to be solved online. Many advances have been made in recent years in this area; a comprehensive overview can be found in Refs.^{14,15}. In the event of LOC, optimizing feasible path is of paramount importance. It should not only account for remaining control authority but must allow for near real time reconfiguration of the projected path. Furthermore, safety of the computational system is another important issue that must be addressed; in the presence of finite computation time, by analyzing the behavior of the implemented algorithms, the CPU time planning must be also performed in real time to guarantee safety of computation¹⁶. In application to the problem at hand the most computationally inexpensive modification¹⁷ of the direct method of calculus of variations is used. The method allows for real-time computation of feasible trajectories and delivers near real-time suboptimal solutions subject to a multidimensional constraints on control functions, initial and final conditions. Onboard implementation of this method is numerically inexpensive and has been proven robust in various applications and flight experiments¹⁷⁻¹⁹.

Furthermore, over the years, unmanned aerial vehicles (UAVs) have played increasingly important roles in the development of novel aircraft technologies. These vehicles are typically viewed as tools which provide low-risk and low-cost means for testing new advanced concepts. Over the span of last 20 years, as computational power has grown by leaps and bounds and the packaging of onboard electronics has been significantly miniaturized, UAVs are being viewed with increased interest to perform a variety of new research missions.

The framework proposed for current development borrows from multiple disciplines and integrates off-line algorithms for system identification, and online algorithms for path generation, path following, and \mathcal{L}_1 adaptive control theory for fast and robust adaptation. Together, these techniques yield control laws that meet strict performance requirements in the presence of CSF, modeling uncertainties and environmental disturbances. The methodology proposed for this work unfolds in three basic steps. First, given a nominal UAV (no CSF implemented) in wings level flight, a feasible trajectory is generated onboard for a given set of initial (grabbed in flight) and final boundary conditions (assigned *a priori* according to the scenario), a general performance criterion to be optimized, and the simplified UAV dynamics.

Next, as soon as a near optimal trajectory for nominal aircraft is generated in real time, the UAV is automatically transitioned to the path following mode with zero initial tracking errors. The path following control algorithm proposed builds on a nonlinear control strategy, which is first derived at a kinematic level, and leads to an outer-loop controller that generates pitch and yaw rate commands to an inner-loop controller. At a second level, the dynamics of the closed-loop UAV with autopilot are dealt with by introducing an inner-loop control law via the \mathcal{L}_1 adaptive output feedback controller wrapped around the autopilot. This \mathcal{L}_1 adaptive augmentation is what allows us to account for the UAV dynamics, guaranteeing stability and performance of the complete system in the presence of modeling uncertainties and environmental disturbances. The main benefit of the \mathcal{L}_1 adaptive controller is its ability of fast and robust adaptation, as proven in Refs.^{9,10,20-23}, which leads to desired transient and steady-state performance for system's both input and output signals simultaneously, in addition to guaranteed gain and time-delay margins^{9,10}. The third step consists of introducing CSF while in path following mode. It is done without acknowledging the nominal AP about the failure and therefore without reconfiguring the nominal inner-loop controller; AP continues to send commands to the control surface that is fixed at the specified position. The ultimate objective is therefore to estimate the boundary of the achievable tracking performance with the most severe CSF deflection.

Thus, \mathcal{L}_1 adaptive control theory will be considered as the framework for the development and validation of the metric driven adaptive control paradigm. Previous work and theoretical details of \mathcal{L}_1 -adaptive control theory, known as *The Theory of Fast and Robust Adaptation*, are presented next in Section II. Motivation and development of a new hardware in the loop flight simulator providing advanced flight modeling capabilities follows next in Section III. Section IV concentrates on the preliminary development of flight test failure matrix including single and multiple CSF, and presents corresponding HIL simulation results. Finally, Section V describes the results of the flight test experimentation program implementing CSFs and the adaptive control algorithm onboard of the SUAV. The paper ends with the concluding remarks in Section VI.

II. Path Following and \mathcal{L}_1 Adaptive Control

A. Path Following Problem Formulation

This section briefly formulates the problem of path following control for a (single) UAV in 3D space. We recall that *path following* refers to the problem of making a vehicle converge to and follow a desired feasible path described by some convenient time-independent parameter (e.g., path length).

In previous work by the authors (Refs.^{24,25}) a general framework for this problem is proposed. In the set-up adopted, the kinematic model of the vehicle is derived with respect to a Serret-Frenet frame moving along the path, playing the role of a virtual target vehicle to be tracked by the real vehicle. The key idea involved in the approach is to consider the rate of progression of the virtual target as a degree of freedom, which in turn allows to decouple spatial and temporal assignments during the path generation and path following phases. The geometry of the problem at hand is shown in Figure 2. Given a path $p_c(l)$ to be followed, parameterized by a path length l , we define Q to be the UAV center of mass and P to be an arbitrary point on the path that plays the role of the center of mass of a virtual UAV to be followed. We also define the *path following kinematic position-error vector* $q_F(t)$ as the difference between the position of the UAV center of mass Q and the position of the virtual UAV P (resolved in \mathcal{F}), which is represented as

$$q_F(t) = [x_F(t) \ y_F(t) \ z_F(t)]^\top,$$

and the *path following kinematic attitude-error vector* $\Phi_e(t)$ as the vector of Euler angles that locally parameterize the rotation matrix from \mathcal{F} to a coordinate system \mathcal{W}' defined by projecting the wind frame onto a local level plane

$$\Phi_e(t) = [\phi_e(t) \ \theta_e(t) \ \psi_e(t)]^\top.$$

Then, as shown in Ref.²⁵, the overall open-loop path following system including the autopilot and the aircraft dynamics can be described by a cascaded structure of the form

$$\mathcal{G}_e: \quad \dot{x}(t) = f(x(t)) + g(x(t))y(t) \quad (1)$$

$$\mathcal{G}_p: \quad y(s) = G_p(s)(u(s) + z(s)), \quad (2)$$

where the subsystem \mathcal{G}_e represents the path following kinematic error dynamics of the UAV, and the subsystem \mathcal{G}_p models the closed-loop system of the UAV with its autopilot (see Figure 3). In this framework,

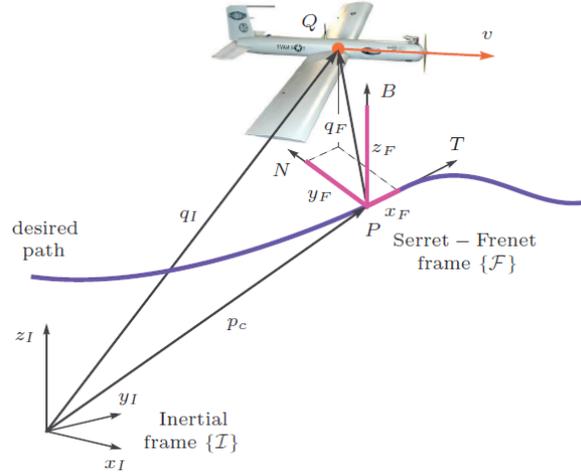


Figure 2: Problem geometry

$x(t) = [q_F^T(t) (\theta_e(t) - \delta_\theta(t)) (\psi_e(t) - \delta_\psi(t))]^T$ is the *path following kinematic error state*, where $\delta_\theta(t)$ and $\delta_\psi(t)$ are some “shaping functions”^a; $y(t) = [q(t) r(t)]^T$ with $q(t)$ and $r(t)$ being the x -axis and z -axis components, respectively, of the vehicle’s rotational velocity resolved in \mathcal{W}' frame; $u(t) = [q_{ad}(t) r_{ad}(t)]^T$ is the vector of reference commands to the autopilot; while $z(t) = [z_q(t) z_r(t)]^T$ models unknown but bounded time-varying disturbances. We note that $x(t)$ and $y(t)$ are the only measured outputs of this cascaded system and $u(t)$ is the only control input. Also, for the purpose of this paper and with a slight abuse of notation, $q(t)$ and $r(t)$ will be referred to as *pitch rate* and *yaw rate*, respectively, in the \mathcal{W}' frame.

Then, the dynamic equations of the path following kinematic error states (subsystem \mathcal{G}_e) are given by^b

$$\mathcal{G}_e : \begin{cases} \dot{x}_F = -\dot{l}(1 - \kappa(l)y_F) + v \cos \theta_e \cos \psi_e \\ \dot{y}_F = -\dot{l}(\kappa(l)x_F - \zeta(l)z_F) + v \cos \theta_e \sin \psi_e \\ \dot{z}_F = -\dot{l}\zeta(l)y_F - v \sin \theta_e \\ \begin{bmatrix} \dot{\theta}_e \\ \dot{\psi}_e \end{bmatrix} = D(t, \theta_e, \psi_e) + T(t, \theta_e) \begin{bmatrix} q \\ r \end{bmatrix} \end{cases} \quad (3)$$

where $v(t)$ is the magnitude of the UAV’s velocity vector, $\kappa(l)$ and $\zeta(l)$ are the curvature and the torsion of the path, respectively, and

$$D(t, \theta_e, \psi_e) = \begin{bmatrix} \dot{l}\zeta(l) \sin \psi_e \\ -\dot{l}(\zeta(l) \tan \theta_e \cos \psi_e + \kappa(l)) \end{bmatrix} \quad \text{and} \quad T(t, \theta_e) = \begin{bmatrix} \cos \phi_e & -\sin \phi_e \\ \frac{\sin \phi_e}{\cos \theta_e} & \frac{\cos \phi_e}{\cos \theta_e} \end{bmatrix}. \quad (4)$$

Note that, in the kinematic error model (3), $q(t)$ and $r(t)$ play the role of “virtual” control inputs. Notice also how the rate of progression $\dot{l}(t)$ of the point P along the path becomes an extra variable that can be manipulated at will.

So far, only the kinematic equations of the UAV have been considered, for which the pitch rate $q(t)$ and the yaw rate $r(t)$ are the control inputs. Next, we consider the closed-loop UAV with autopilot (subsystem \mathcal{G}_p) with input $u(t) = [q_{ad}(t) r_{ad}(t)]^T$ and output $y(t) = [q(t) r(t)]^T$, which is in turn the input to the subsystem \mathcal{G}_e . The subsystem \mathcal{G}_p is assumed to have the (decoupled) form

$$\mathcal{G}_p : \begin{cases} q(s) = G_q(s) (q_{ad}(s) + z_q(s)) \\ r(s) = G_r(s) (r_{ad}(s) + z_r(s)) \end{cases} \quad (5)$$

^aWe refer to Ref.²⁵ for details in the definition of $\delta_\theta(t)$ and $\delta_\psi(t)$.

^bSee Ref.²⁴ for details in the derivation of these dynamics.

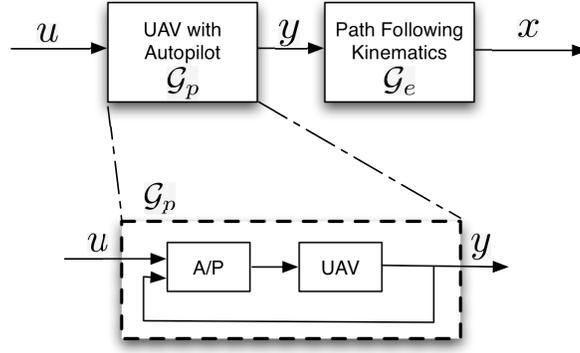


Figure 3: Cascaded path following error dynamics with UAV dynamics

where $G_q(s)$, $G_r(s)$ are unknown strictly proper and stable transfer functions and $z_q(s)$, $z_r(s)$ represent the Laplace transforms of $z_q(t)$ and $z_r(t)$, respectively. In Ref.²⁵, we justify the adoption of a linear time-invariant model for the UAV together with its autopilot.

Then, given a desired path to be followed, the control objective is to stabilize $x(t)$ by proper design of $u(t)$ without any modifications to the autopilot.

B. Stabilizing Function for the Path Following Kinematics (outer-loop)

As shown in Ref.²⁵, in the ideal case where \mathcal{G}_p is the identity operator, if the rate of progression of the point P along the path is appropriately chosen, then there exist stabilizing functions $q_c(t)$ and $r_c(t)$ for $q(t)$ and $r(t)$, respectively, leading to local exponential stability of the origin of \mathcal{G}_e with a prescribed domain of attraction. A formal statement of this semi-global result is given in the lemma below.

Lemma 1 *Let the progression of the point P along the path be governed by*

$$\dot{i} = K_1 x_F + v \cos \theta_e \cos \psi_e, \quad (6)$$

where $K_1 > 0$, and define the input vector $y_c(t)$ as

$$y_c = \begin{bmatrix} q_c \\ r_c \end{bmatrix} = T^{-1}(t, \theta_e) \left(\begin{bmatrix} u_{\theta_c} \\ u_{\psi_c} \end{bmatrix} - D(t, \theta_e, \psi_e) \right), \quad (7)$$

where $u_{\theta_c}(t)$ and $u_{\psi_c}(t)$ are given by

$$\begin{aligned} u_{\theta_c} &= -K_2(\theta_e - \delta_\theta) + \frac{c_2}{c_1} z_F v \frac{\sin \theta_e - \sin \delta_\theta}{\theta_e - \delta_\theta} + \dot{\delta}_\theta \\ u_{\psi_c} &= -K_3(\psi_e - \delta_\psi) - \frac{c_2}{c_1} y_F v \cos \theta_e \frac{\sin \psi_e - \sin \delta_\psi}{\psi_e - \delta_\psi} + \dot{\delta}_\psi. \end{aligned} \quad (8)$$

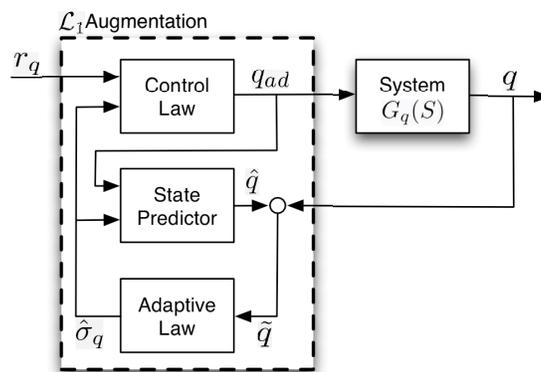
with some K_2 , K_3 , c_1 , $c_2 > 0^c$. Then, the origin of the kinematic error equations in (3) with the controllers $q(t) \equiv q_c(t)$, $r(t) \equiv r_c(t)$ defined in (7)-(8) is exponentially stable with some domain of attraction Ω .

Proof. The proof of this Lemma can be found in Ref.²⁵. □

C. \mathcal{L}_1 Adaptive Output-Feedback Augmentation (inner-loop)

The variables $q_c(t)$ and $r_c(t)$ generated by the (outer-loop) path following algorithm must be viewed as commands to be tracked by appropriately designed inner-loop control systems. Since commercial autopilots are normally designed to track simple way-point commands, the pitch and yaw rate commands computed

^cWe refer to Ref.²⁵ for details in the choice of the parameters K_1 , K_2 , K_3 , c_1 , and c_2 .

Figure 4: \mathcal{L}_1 adaptive augmentation loop for pitch rate control

before are modified by including an \mathcal{L}_1 -adaptive loop to ensure that the closed-loop UAV with the autopilot tracks the commands $q_c(t)$ and $r_c(t)$ following a desired reference model $M(s)$, i.e.

$$q(s) \approx M(s)q_c(s) \quad \text{and} \quad r(s) \approx M(s)r_c(s),$$

where $M(s)$ is designed to meet the desired specifications. In this paper, for simplicity, we consider a first order system, by setting

$$M(s) = \frac{m}{s+m}, \quad m > 0.$$

The philosophy of the \mathcal{L}_1 adaptive output feedback controller is to obtain an estimate of the uncertainties of the plant, and define a *control signal* which compensates for these uncertainties within the bandwidth of a low-pass filter $C(s)$ introduced in the feedback loop. This filter represents the key difference of \mathcal{L}_1 adaptive control from conventional MRAC, and guarantees that the \mathcal{L}_1 adaptive controller stays in the low-frequency range even in the presence of high adaptive gains and large reference inputs. The choice of $C(s)$ defines the trade-off between performance and robustness¹⁰. *Adaptation* is based on the projection operator, ensuring boundedness of the adaptive parameters by definition, and uses the output of a *state predictor* to update the estimate of the uncertainties. The \mathcal{L}_1 adaptive control architecture for the pitch-rate channel is represented in Figure 4 and its elements are introduced below.

State Predictor: We consider the state predictor

$$\dot{\hat{q}}(t) = -m\hat{q}(t) + m(q_{ad}(t) + \hat{\sigma}_q(t)), \quad \hat{q}(0) = q(0), \quad (9)$$

where the adaptive estimate $\hat{\sigma}_q(t)$ is governed by the following adaptation law.

Adaptive Law: The adaptation of $\hat{\sigma}_q(t)$ is defined as

$$\dot{\hat{\sigma}}_q(t) = \Gamma_c \text{Proj}(\hat{\sigma}_q(t), -\tilde{q}(t)), \quad \hat{\sigma}_q(0) = 0, \quad (10)$$

where $\tilde{q}(t) = \hat{q}(t) - q(t)$ is the error between the state predictor and the output of the actual system, $\Gamma_c \in \mathbb{R}^+$ is the adaptation rate subject to a computable lower bound, and Proj denotes the projection operator²⁶.

Control Law: The control signal is generated by

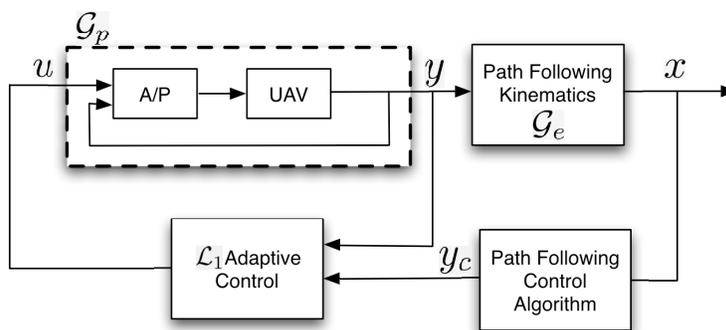
$$q_{ad}(s) = C(s)(r_q(s) - \hat{\sigma}_q(s)), \quad (11)$$

where $r_q(t)$ is a bounded reference input signal with bounded derivative, and $C(s)$ is a strictly proper low-pass filter with $C(0) = 1$. In this paper, we consider the simplest choice of a first order filter

$$C(s) = \frac{\omega}{s+\omega}, \quad \omega > 0.$$

The complete \mathcal{L}_1 adaptive output feedback controller consists of (9), (10) and (11) subject to the following stability condition: the design of $C(s)$ and $M(s)$ needs to ensure that

$$H(s) = \frac{G_q(s)M(s)}{C(s)G_q(s) + (1-C(s))M(s)} \quad (12)$$

Figure 5: Closed-loop cascaded system with \mathcal{L}_1 adaptive augmentation

is stable^d.

Then, given the \mathcal{L}_1 adaptive augmentation above, it is possible to derive a performance bound for system's output $q(t)$ with respect to the reference command $q_c(t)$. Next lemma states this key result. We avail ourselves of previous work on \mathcal{L}_1 augmentation and its application to path following^{23,25}.

Lemma 2 *Let $r_q(t)$ be a bounded reference command with bounded derivative. Given the \mathcal{L}_1 adaptive controller defined via (9), (10) and (11) subject to (12), if the adaptation gain Γ_c and the projection bounds are appropriately chosen^e, then we have*

$$\|q - r_q\|_{\mathcal{L}_\infty} \leq \gamma_\theta \quad \text{with} \quad \lim_{\substack{\Gamma_c \rightarrow \infty \\ \omega \rightarrow \infty \\ m \rightarrow \infty}} \gamma_\theta = 0.$$

Proof. The proof of this Lemma can be found in Ref.²⁵. □

Similarly, if we implement the \mathcal{L}_1 adaptive controller for the yaw-rate channel, with a similar bound for the initial condition on the yaw-rate, we can derive

$$\|r - r_c\|_{\mathcal{L}_\infty} \leq \gamma_\psi \quad (13)$$

with $\gamma_\psi > 0$ being a constant similar to γ_θ .

D. Path Following with \mathcal{L}_1 Adaptive Augmentation

As proven in Ref.²⁵ the path following closed-loop system with the \mathcal{L}_1 augmentation (see Figure 5) is stable. In particular, the \mathcal{L}_1 adaptive augmentation ensures that the outer-loop path following commands $q_c(t)$ and $r_c(t)$ and their derivatives $\dot{q}_c(t)$ and $\dot{r}_c(t)$ are bounded, which in turn allows to prove that the original domain of attraction for the kinematic error equations Ω can be retained. This key result is stated next.

Theorem 1 *Let the progression of the point P along the path be governed by*

$$\dot{l}(t) = K_1 x_F(t) + v(t) \cos \theta_e(t) \cos \psi_e(t), \quad (14)$$

where $K_1 > 0$. There exist control parameters Γ_c , ω , and m such that the (nonlinear) control laws $q_c(t)$ and $r_c(t)$ in Lemma 1 are bounded with bounded derivatives, and moreover they ensure that, if $x(0) \in \Omega$, then $x(t) \in \Omega$ for all $t \geq 0$, and thus the path following closed-loop cascaded system is ultimately bounded.

Proof. The proof of this Theorem can be found in Ref.²⁵. □

^dThis stability condition is a simplified version of the original condition derived in Ref.²³, where the problem formulation includes output dependent disturbance signals $z(t) = f(t, y(t))$.

^eSee Ref.²⁵ for a detailed discussion and derivation of the design constraints on the adaptation gain Γ_c , the bandwidth of the low-pass filter ω , and the bandwidth of the state-predictor m .

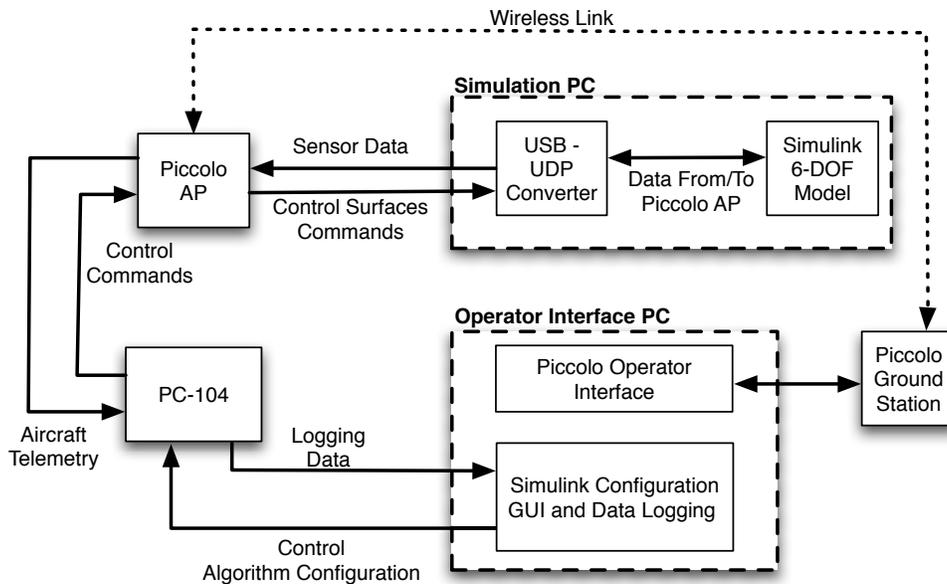


Figure 6: HIL setup; new Simulink-based 6DOF model is a centerpiece of the Simulator

Remark 1 We notice that the approach proposed is different from common backstepping-type analysis for cascaded systems. The advantage of the above structure for the feedback design is that it retains the properties of the autopilot, which is designed to stabilize the inner-loop. As a result, it leads to ultimate boundedness instead of asymptotic stability. From a practical point of view, the procedure adopted for inner/outer loop control system design is quite versatile in that it adapts itself to the particular autopilot installed on-board the UAV.

III. Hardware in the Loop and Flight Test Setup

A. Motivation and Development of New HIL Simulator: Architecture Description and Capabilities

Many of the current Commercial Off-The-Shelf (COTS) autopilots offer software simulators to perform HIL development, testing and training. Although these simulators work well in general, they are quite limited when one tries to simulate complicated phenomena or use non-standard aerodynamics. For instance, simulating CSF, a combination of failures or employing a different plant model from the one pre-programmed is simply not possible using these products.

Due to these limitations, the task of developing a new, more versatile HIL simulator, was recently accomplished. The newly developed HIL simulator has the ability to simulate any dynamic model using Simulink.²⁷ In general, this model is used to generate synthetic sensor data for the autopilot and has the possibility to receive back control commands directly from the AP. This set up offers flexibility not previously available in standard Piccolo setup and, provided that a plant model obtained by system identification (see Section B) is accurate, reduces the amount of parameter tuning when transitioning from HIL to real flight implementation.

The Piccolo Plus AP²⁸ is the core element of the current Rapid Flight Test Prototyping System (RFTPS)^{29,30} developed at the NPS. This AP interacts with its own HIL simulator via a Controller Area Network (CAN) bus. It is able to send control surface commands and receive simulated sensor readings from a PC via a CAN to USB converter cable. In order to replace the standard Piccolo Simulator with a new Simulink-based one two main components were necessary to build: an interface to the CAN/USB data bus and a 6-DOF dynamic model. The complete HIL architecture is shown next in Fig. 6 and the components of this new HIL simulator are described in more detail in the following subsections.

1. Simulation PC

The simulation PC is responsible for generating coherent sensor data for the AP and responding to the AP commands in real time. It consists of two main components: a USB-UDP converter and the 6-DOF Simulink model.

The USB-UDP converter is an application developed in C++. It reads the incoming control surface commands from the AP (via USB) and writes them to a UDP port for Simulink model. It also listens to a UDP port where it receives sensor readings data from Simulink model in a predefined format, parses these readings and sends them back to the AP via USB.

Simulink is “*an environment for multidomain simulation and Model-Based Design for dynamic systems*”²⁷ and therefore is a natural choice for a simulation engine. To this end, a Simulink blockset was developed consisting of two components: (i) a source which listens on a predefined UDP port and parses messages in order to translate them into the control surface commands (up to 10 different control surfaces); and (ii) a sink, with inputs for the traditional sensors (accelerometers, gyros, magnetometers, GPS, dynamic and static pressure, temperature, engine RPM). This sink parses the data and writes it back to a predefined UDP port in the correct format.³¹

This setup alone fully replicates the standard capability of the Piccolo HIL Simulator and also extends it by making use of the flexibility of Simulink as a powerful simulation environment.

2. Operator Interface PC

The Operator Interface (OI)²⁸ is a standard software component of Piccolo-based setup. The OI provides functionality to configure the AP gains, set navigation waypoints, monitor the sensor data and display the UAV in a geo-referenced map. It is connected to the Piccolo Ground Station (PGS) via a serial cable, in turn the PGS communicates with the AP via a 900MHz serial wireless link.

The ground-based Simulink GUI is used to tune the \mathcal{L}_1 adaptive controller and the path generation and path following algorithms being executed onboard in the PC-104 computer. In HIL configuration it communicates with the PC-104 computer via a wired local area network (LAN) using the UDP protocol; in flight the wired LAN is substituted by the Wave Relay³² wireless mesh link. This GUI also provides flight data monitoring and logging as well as facilitates the analysis of the telemetry data via several MatLab scripts.

3. PC-104 Target Computer

The PC-104 remote computer closes the outer control loop by sending control commands back to the AP. The PC-104 runs the xPC Target real-time kernel,³³ the \mathcal{L}_1 controller and the path generation/following algorithms and communicates with external world over UDP. The model that implements the algorithms is built in Simulink and then automatically translated to C code and compiled into real time binary code from within the Simulink environment. This makes the use of Simulink as an ideal development and prototyping platform. The communication between the AP and the PC-104 is accomplished through the serial port (RS-232). The connection is based on the real-time full-duplex serial interface^{29,34} (based on s-function technique) that links PC-104 computer to the AP. This serial interface has proven to be reliable and robust over many hours of HIL simulation and numerous flight tests.

B. System Identification

Adequate modeling is a keystone of any successful control system design. Although very important and time consuming by itself, the system identification part of the project will be presented briefly, providing enough details to understand the concept. As already mentioned in Section I there are still limitations to modeling aerodynamic forces and moments. Although the aerodynamics of a small UAV of standard high-wing configuration employed for this project is traditional and is not difficult to identify, the aerodynamics of this UAV changes drastically as soon as CSF is activated. In order to produce a reliable solution for the nominal and impaired models the following strategy of system identification is proposed, see Fig. 7.

Starting with initial measurements of the mass and geometry characteristics the LinAir³⁵ code is employed to produce initial estimates of basic aerodynamic terms represented by the traditional regression model¹¹ for the complete configuration. Next a series of flight experiments was designed to measure airplane responses to a single-input (one channel at a time) doublet commands. Instrumentation of the airplane to measure data

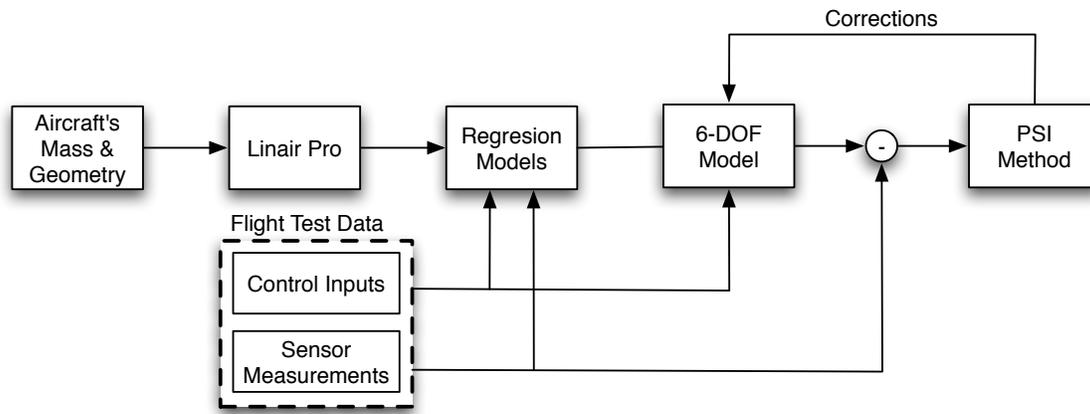


Figure 7: System identification architecture

adequately is presented in the following section; it follows general instrumentation recommendations that can be found in Refs.^{11,12} and references therein. To acquire the response data the built-in capability of Piccolo AP has been used; it allows for 100 Hz data sampling during the interval of performing the preprogrammed doublet. Series of doublets for open-loop system was performed separately in aileron, rudder and elevator channels in order to excite the UAV so that the data contains sufficient information for accurate system identification. When accomplished, the classical regression based off-line identification technique¹¹ was first used to improve initial estimates of basic aerodynamics and control derivatives of the nominal airplane. At this step the moderate-fidelity Rascal model was identified.

Wrapping the traditional technique with the less known Parameter Space Investigation (PSI) method³⁶ is used specifically to assist in identifying the structure of the regression model especially for the CSF regimes. The PSI method has been developed to address a correct statement and solution of the multi criteria optimization (identification) problem. The principal advantage of this method consists in the fact that the formulation and solution of the task comprise a single process. At every step of the process, an intellectual input of the designer can be seamlessly integrated to the task therefore modifying its formulation (statement) but preserving and integrating acquired data into the final result. The PSI method, being implemented in the software package MOVI 1.3³⁶, has been implemented on top of the highly flexible MATLAB/SIMULINK system modeling and analysis environment through the shared-memory interface³⁷. This implementation easily allows one to incorporate nonlinear multi-criteria vector optimization/identification into any design task that is implemented in any of the MathWorks' products.

C. Aircraft Instrumentation and Flight Test Setup

The Avionics of the RASCAL UAV consist of four major functional groups that are shown in Figure 8: (i) a high-bandwidth Persistent Systems WaveRelay wireless mesh router³² that provides communication with the ground based control PC, (ii) a PC-104 computer that executes the control algorithm and communicates with the AP, (iii) a Microbotics digital Servo Switch/Controller (SSC)³⁸ that allows for multiple sources of servo control, and (iv) the Piccolo Plus AP that implements the inner-loop stabilization and reference following. Most of these components except the digital multiplexor comprise the regular instrumentation of the RFTPS setup described in Ref.³⁰.

The SSC was included in the avionics to allow for a pre-programmed single or multiple control surface failures; the CSF setup is configured on the ground before each flight but enabled on-demand from the second pilot console during the flight. This SSC is a highly configurable, multiplexing switch that allows the dynamic selection from three different sources of signals to pass-through to the control surface actuators. These sources are: (i) asynchronous serial communication packets from the PC-104 computer used to generate the failure; (ii) the signals from a conventional RC receiver that is used as a fail-safe mechanism in case the AP fails to stabilize the UAV; and (iii) the PWM signals from the nominal AP to the control surfaces. This architecture allows the user a complete flexibility in defining the nature of the failure. This failure may be configured

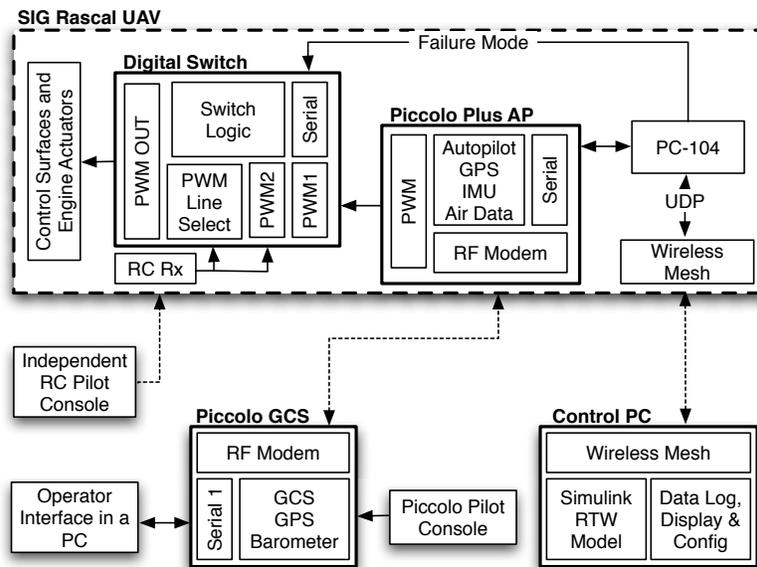


Figure 8: Flight test architecture; integrating digital multiplexer allows for multiple sources of control

to be either one or multiple surfaces and be either a hardover, a neutral position or an oscillating failure as described in Section I.

Another addition to the RFTPS (not shown in Figure 8 for the sake of clarity) is a set of CMOS solid state video cameras used to record digital video of the simulated CSF; these cameras (Figure 9) are mounted on the airplane so that they continuously observe the control surfaces involved in the experiment. The footage produced by these cameras is recovered for further after-flight data analysis.

The airframe utilized as a UAV is one employed by many universities and government labs for research, the Sig Rascal 110, shown in Figure 10. The airframe has a 2.8 m wing span, uses a 26 cm³ gas engine, and a take-off weight of about 10 kg, including 1500 cm³ of gas and enough battery power to provide 120 minutes of flight time. The Rascal can be purchased in an Almost-Ready-to-Fly (ARF) form, making it an extremely cost-effective way to prototype new flight-control systems. The airframe has ample cabin room for the Piccolo AP, the desired computing hardware, sensors, and batteries to provide power to all the avionics.

IV. Control Surfaces Failure Matrix and HIL Results

This section presents the main results obtained with the new HIL simulator described in Section III.A. The simulations demonstrate that, if there is enough control authority left, the \mathcal{L}_1 adaptive augmentation allows for safe recovery from the failure with guaranteed transient performance, while the remaining control authority may be used to track the turn rate reference commands. The simulations provide also useful information about the maximum level of CSF severity that the inner-loop controller is able to compensate for, and the control authority left to control the UAV for every particular failure or combination of failures. Finally, for the case of path following, it is shown that the control algorithm with \mathcal{L}_1 augmentation introduced in Section II is able to stabilize and steer the UAV along the path in the presence of control surface failures, significantly improving performance of the nominal non-augmented control system.

A. Development of CSF matrix

The proposed *failure matrix* is built around 8 control surfaces available for independent control of the NPS UAV. As explained in Section III, both HIL and flight test setups offer a great degree of flexibility, allowing one to introduce different single and multiple CSFs. In this paper, as an initial development stage of the project, only single aileron failures, single rudder failures, and combined aileron/rudder failures have been considered (see Table 1).



Figure 9: Videocameras used to record the control surface “failures”



Figure 10: SIG Rascal 110 research aircraft

	Eng.	L Elev.	R Elev.	L Ail.		R Ail.		Rudd.		L Flap	R Flap
				Sim	HIL	Sim	HIL	Sim	HIL		
Eng.											
L Elev.											
R Elev.											
L Ail.				< 6°	< 4°						
R Ail.						< 6°	< 4°				
Rudd.					< 6° < 4°		< 6° < 4°		< 10°		
L Flap											
R Flap											

Table 1: Simulation and HIL CSF Results

B. HIL Results

The first step consisted of running simulations where the inner-loop controller was asked to track constant turn rate commands (of different amplitudes) in the presence of the control surface failures considered in the failure matrix in the previous section. These simulations provided valuable information about the maximum level of failure severity that the inner-loop controller can compensate for with and without \mathcal{L}_1 -augmentation, as well as the remaining control authority for every particular failure.

The results showed that the nominal non-augmented autopilot is not able to track the reference signal in the presence of failures, whereas the \mathcal{L}_1 -augmented inner-loop adapts to provide good tracking performance with desired recovery transient recovery. Cumulative tracking errors and control efforts were used as metrics to evaluate the performance of the inner-loop in recovering from the control surface failure, while the lateral and normal accelerations provided information about the structural stress on the platform and the quality of the recovery transient. Results were obtained for $0 \frac{\text{rad}}{\text{s}}$, $0.05 \frac{\text{rad}}{\text{s}}$, $0.10 \frac{\text{rad}}{\text{s}}$, and $0.20 \frac{\text{rad}}{\text{s}}$ turn rate commands.

During the second step, and motivated by challenging mission scenarios such as safe landing in the presence of control surface and/or engine failures, the complete path following control algorithm was tested in the HIL simulator in order to evaluate the performance of the closed-loop system when affected by failures. Figures 11 and 12 show one of the results of these HIL simulations. Particularly, the CSF considered here is a 3 deg left aileron hardover. As it can be seen in Figure 11, the path following control algorithm without \mathcal{L}_1 adaptive augmentation is not able to recover from the failure and track the desired path. Figure 11b shows that, in the presence of the failure, the autopilot itself is not able to track the reference command coming from the outer-loop algorithm and we lose control of the UAV. Instead, if the autopilot is augmented with the \mathcal{L}_1 adaptive controller, the UAV is able to recover from the failure and the path following control system uses the remaining control authority to steer the UAV along the path, as shown in Figures 12a and 12b. In particular, Figure 12b shows how the \mathcal{L}_1 adaptive controller modifies the turn rate command from the outer-loop algorithm so that the actual UAV turn rate tracks it, while Figure 12c shows that both the right aileron and the rudder help compensate for the left aileron hardover. Figures 12d and 12e show, respectively, the time responses of the state predictor, and the estimation of the uncertainties along with the resulting \mathcal{L}_1 control contribution. From these figures, one can easily observe that, for the healthy UAV, the contribution of the \mathcal{L}_1 augmentation to the command sent to the autopilot is almost zero; whereas, as soon as the failure appears, the \mathcal{L}_1 augmentation starts contributing (with desired transient recovery) to the command. Figure 12b illustrates also that the remaining control authority in the presence of the aileron failure is not enough to track outer-loop commands greater than $+0.05 \frac{\text{m}}{\text{s}}$. Then, when the outer-loop commands such a reference, this limitation leads to saturation of the \mathcal{L}_1 adaptive controller, as it can be seen in Figure 12e. In any case, the path following with \mathcal{L}_1 augmentation keeps the closed-loop control system stable all the time.

We note that, in this approach, the autopilot does not know that the failure occurred, and thus there is

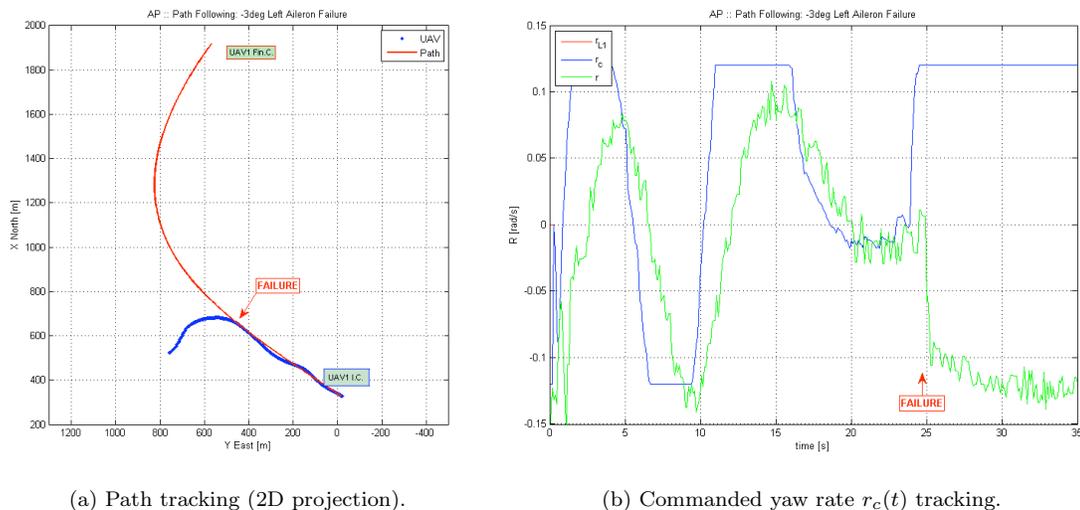


Figure 11: HIL. Path following without \mathcal{L}_1 adaptive augmentation.

no need for failure detection and isolation (FDI) in order to compensate for the failure: the \mathcal{L}_1 augmented inner-loop adapts to the new dynamics of the plant, and the UAV can be steered along the path using the remaining control authority. We also note that, in order to guarantee, for example, safe landing capabilities in the presence of failures, information about the remaining control authority should be supplied to the trajectory optimization algorithm in order to generate a new path for safe landing of the impaired airplane. From the discussion above on the internal signals of the \mathcal{L}_1 adaptive controller, one can conclude that the presence of a failure can be directly detected from the nonzero \mathcal{L}_1 contribution to the outer-loop command. Moreover, since the presence of the failure does not affect the structure of the autopilot or its parameters, the remaining control authority is correlated to both the autopilot internal command saturation limits and the amplitude of the \mathcal{L}_1 contribution. However, further theoretical and experimental study should be conducted on the identification and management of the remaining control authority.

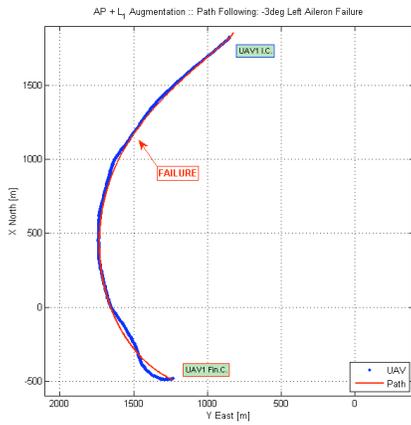
V. Flight Test Results

In this section, sample results from a series of flight experiments implementing real CSF are shown providing some insight primarily into the recovery capabilities of real system with \mathcal{L}_1 augmented path following algorithm. It also serves the auxiliary function of proving the correctness of the concept adhered to the project through analyzing the consistency of principal results obtained in the control system design and pure software simulation, HIL simulation and flight test stages of the program.

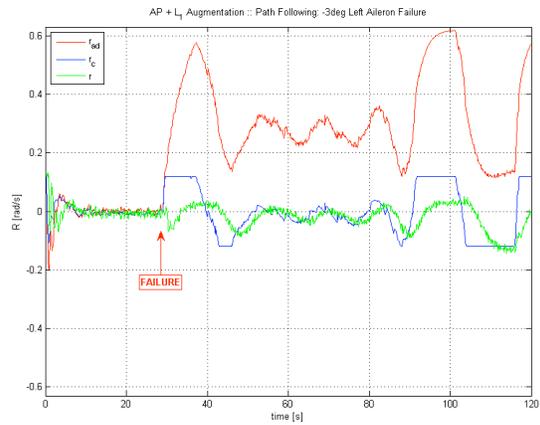
A. Flight Testing Procedure

The flight testing was performed over a span of several days in July 2008, in Camp Roberts CA. Flight test setup is identical to the one presented in HIL section except that sensor measurements are not simulated. In the first series of flights, the parameters of the PID gains for the nominal AP, path following kinematic controller and \mathcal{L}_1 augmentation loop were those obtained in HIL simulation. A small tuning of \mathcal{L}_1 augmentation loop decreasing the bandwidth of both estimator and low pass filter was initially performed to test more conservative and therefore less aggressive augmentation. Later on, when nominal performance of the healthy system in path following mode with \mathcal{L}_1 controller enabled was verified, the original parameters were applied. More details on tuning the path following controller and the \mathcal{L}_1 adaptive augmentation can be found in Ref.²⁵.

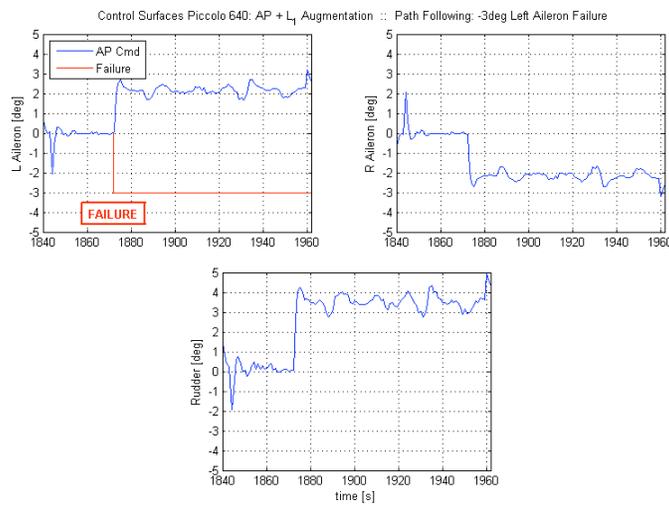
Overall, two series of CSF test were performed: first, a left aileron hardover; and second, a rudder hardover. After every takeoff, the UAV was trimmed in wings level flight, which allowed us to capture the



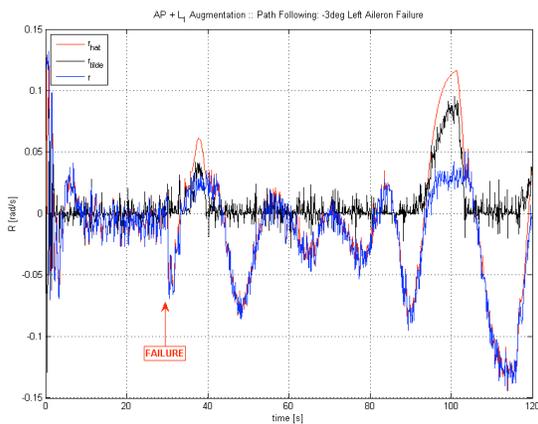
(a) Path tracking (2D projection).



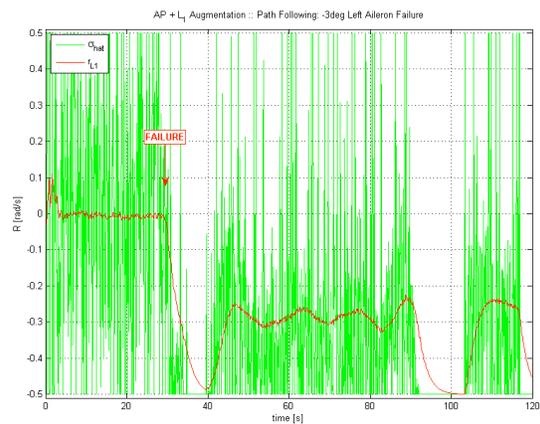
(b) Commanded yaw rate $r_c(t)$ tracking.



(c) Control Surface deflections.



(d) State predictor time response $\hat{r}(t)$



(e) Uncertainties estimation $\hat{\sigma}(t)$ and \mathcal{L}_1 contribution

Figure 12: HIL. Path following with \mathcal{L}_1 adaptive augmentation.

trim positions for all the control surfaces. Then, these trim deflections for ailerons and rudder were used as a reference level to introduce the failures and to evaluate the severity of the failure. It is also necessary to note that the CSF was introduced with a small delay (usually not more than 2-3 seconds) after the path following algorithm was engaged. This is due to the fact that the confirmation of the CSF command were sent from the secondary pilot console that triggered the digital switch onboard (see Fig. 8).

B. Testing Ailerons and Rudder Channels

The first set of figures illustrates the performance of the system with two levels of left aileron failure at -2 deg and -10 deg (trim value for the left aileron was captured at -2.34 deg). Although there were 6 trials performed starting at 0 deg and ending at -12 deg of a left aileron hardover, these two cases provide better insight on the \mathcal{L}_1 contribution. The -12 deg of a left aileron hardover resulting in -14.34 deg of total aileron deflection was a marginal case when the closed-loop system was marginally stable. Therefore, the previous step was chosen as a margin for the \mathcal{L}_1 controller recovering capability.

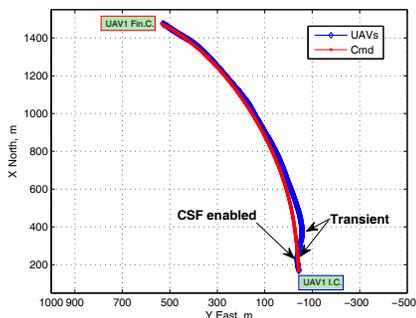
Analysis of the -2 deg case shows that even such small deflection pushes UAV away to the right from the commanded trajectory (while the generated path turns left, Fig. 13a) resulting in almost 25 meters lateral miss distance, see Fig. 13b). Initial rate transient (Fig. 13c) is almost negligible, although its effect is better seen on the trajectory plot; the \mathcal{L}_1 is ON and r response follows r_{ad} command perfectly well. The introduced CSF results in the UAV taking next 20 seconds to converge to 5 meters lateral error boundary. The attitude angles (Fig. 13e) show that although with induced right turn the UAV is capable to keep banking to the left (mean value at -10°) for the entire duration of the experiment, therefore following the trajectory. In particular, rates plot confirms that the \mathcal{L}_1 adaptive controller (r_{ad}) modifies the turn rate command from the outer-loop (r_c) algorithm so that the actual UAV turn rate (r) tracks it, while the CS deflection plot (Fig. 13d) shows that both the right aileron and the rudder compensate for the left aileron hardover. History of the control surface deflection confirms that the AP continues sending commands to the left aileron since it is not aware of the failure. With the total deflection of failed aileron at -4.34 deg the control algorithm forces the inner-loop of nominal AP to deflect both the symmetric aileron and the rudder, that significantly helps in tracking the given path. The plots of lateral and normal accelerations (Fig. 13f) show that, while in failure mode, the accelerations are well maintained, and therefore the UAV does not experience any excessive load providing ride quality.

The results of the -10 deg left aileron failure (Figure 14) are almost identical to the previous case. The errors and the compensating control efforts are increased due to the increased severity of the failure. The analysis shows uniform degradation of tracking performance that allows for easy prediction of the performance bounds, that is extremely important for such a challenging situation. Overall, the controller recovers from the severe failure taking longer time (30 seconds) to converge to the same 5 meters lateral error boundary.

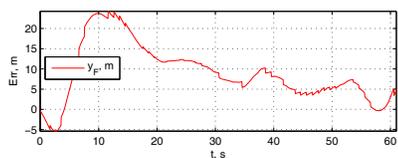
Experimenting with the rudder failures confirmed its significant control authority in the lateral channel. As a result much smaller range of rudder failure was achieved. The following set of figures (Fig. 15) illustrates the performance of adaptive controller. Analysis of even 0 deg rudder failure shows significant loss of controllability: the lateral error (Fig. 15b) rises up to 35 m, it takes longer time to recover from the transient (30 s) to the same 5 meters lateral error boundary. The fact that the rate response r (Fig. 15c) is 90 deg off-phase from the commanded r_{ad} (AP still commands turn rate through rudder, but only ailerons are available) for both cases of failure confirms that the \mathcal{L}_1 controller automatically re-adjusts the turn rate command to the fixed, but unknown, mixing of the aileron to rudder channels. The case of -2 deg rudder failure shows the boundary performance of the augmented system in recovering the path following.

The fact that the nominal AP cannot track a given path without \mathcal{L}_1 augmentation is explicitly illustrated next in Figure 17a where system response to 1 deg rudder failure without \mathcal{L}_1 is shown. Figure 17b shows dynamics of lateral error with the rudder fixed at 1° . The non-stabilizing divergence of the lateral error provides the most illustrative proof of that.

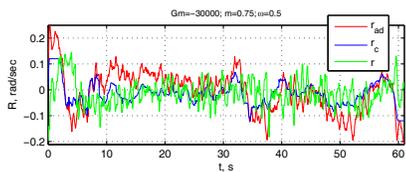
Initial evaluation of the \mathcal{L}_1 adaptation performance in recovering the UAV in the path following mode under the CSF was based on the following two representative metrics. The first one reflects the lateral tracking error by analyzing the maximum lateral deviation from a commanded path – $\max(|y_f|)$. The second one represents the desire to obtain sufficient indication of structural stress and ride quality best reflected by the maximum lateral and normal accelerations – $\max(|N_y|)$, $\max(|N_z|)$. Analysis of the obtained results is presented in Table 2, clearly identifies that even in relatively high lateral CSF hardover, the proposed \mathcal{L}_1 adaptive controller provides bounded tracking error and relatively mild structural stress and



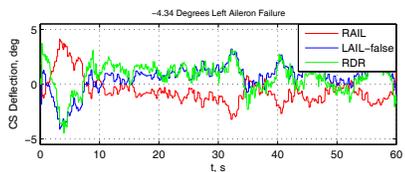
(a) trajectories



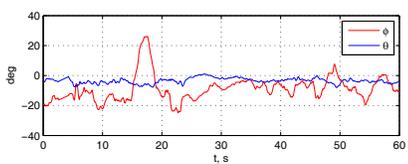
(b) y_F lateral error



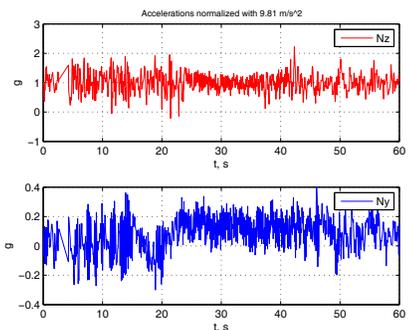
(c) rate commands



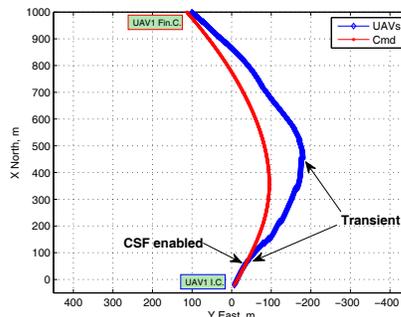
(d) CS deflection



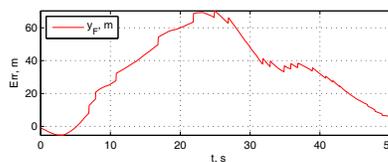
(e) attitude



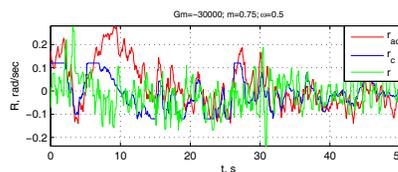
(f) accelerations



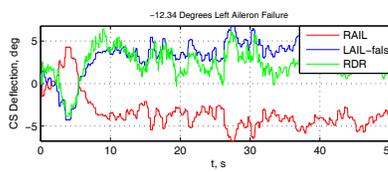
(a) trajectories



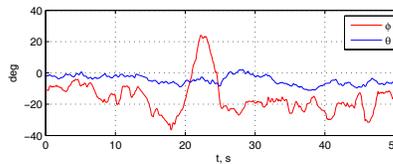
(b) y_F lateral error



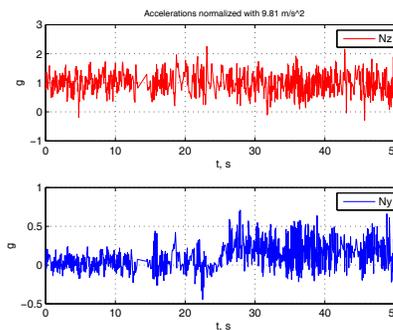
(c) rate commands



(d) CS deflection



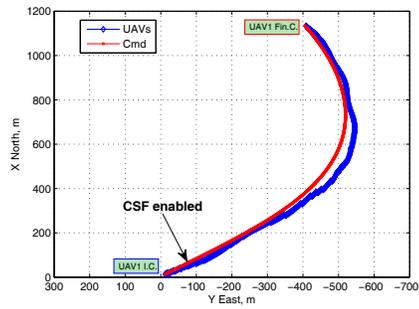
(e) attitude



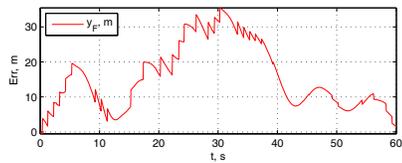
(f) accelerations

Figure 13: FT. Path following performance with 2 deg left aileron hardover.

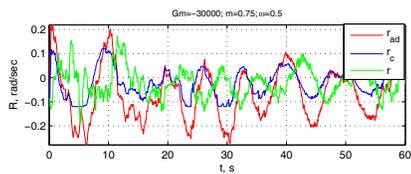
Figure 14: FT. Path following performance with 10 deg left aileron hardover.



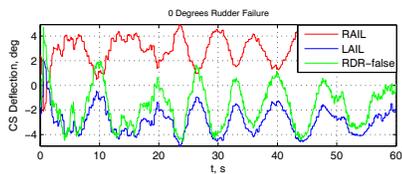
(a) trajectories



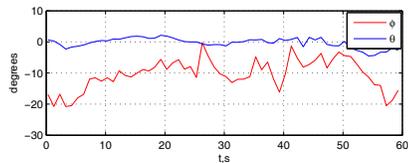
(b) y_f lateral error



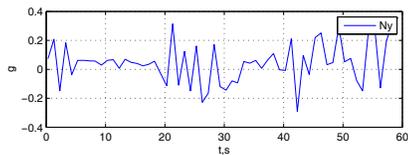
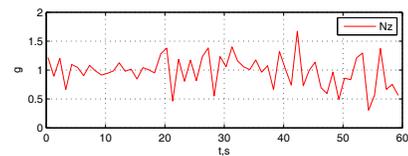
(c) rate commands



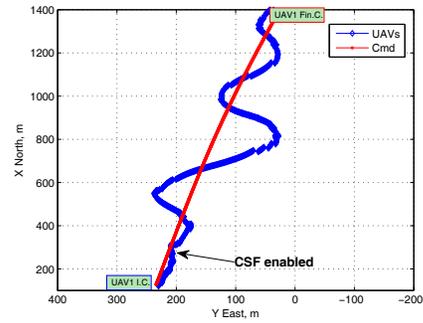
(d) CS deflection



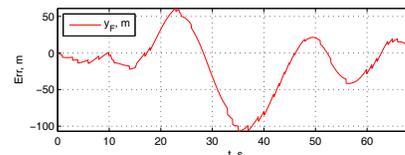
(e) attitude



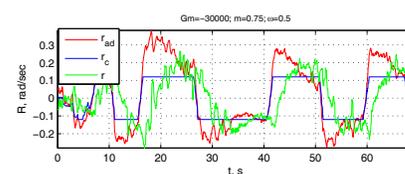
(f) accelerations



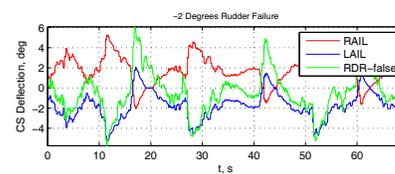
(a) trajectories



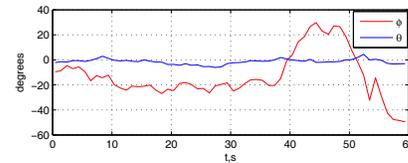
(b) y_f lateral error



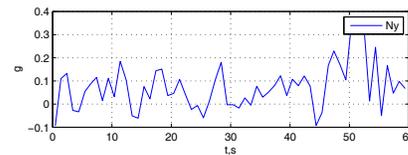
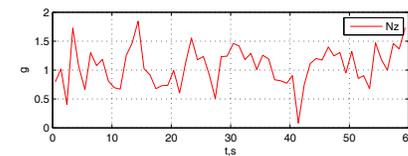
(c) rate commands



(d) CS deflection



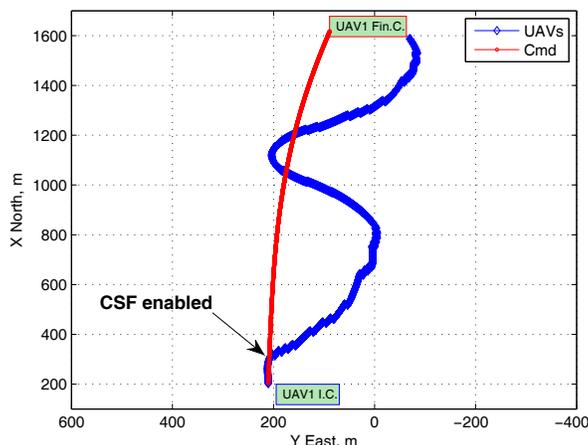
(e) attitude



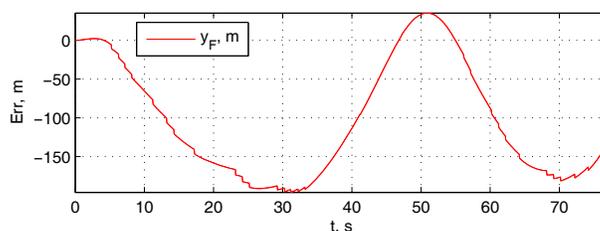
(f) accelerations

Figure 15: FT. Path following performance with 0 deg rudder hardover.

Figure 16: FT. Path following performance with 2 deg rudder hardover.



(a) Path tracking (2D projection).

(b) Lateral error y_F .Figure 17: HIL. Path following with 1 deg rudder hardover and \mathcal{L}_1 disabled.

ride quality. Applying ride quality rating model³⁹ to the filtered values of lateral and normal accelerations the Uncomfortable (4) level was achieved for the most severe case of aileron failure and Very Uncomfortable (5) for the corresponding rudder case.

	Rudder 0°	Rudder 2°	Aileron -4°	Aileron -12°
$\max(y_f), m$	35.41	106.9	24.2	7.27
$\max(N_y), g$	0.35	0.36	0.39	0.7
$\max(N_z), g$	1.67	1.84	0.7	2.41

Table 2: Lateral Tracking, Structural Stress and Ride Quality Results

VI. Concluding Remarks

In conclusion it can be stated that integrating \mathcal{L}_1 adaptive output feedback augmentation controller onboard of a small UAV brings unprecedented benefits in the situations when the UAV is in adverse flight conditions or is subject to the control surfaces failures. Since the nominal autopilot is not aware of a failure of one or several control surfaces, the integration of \mathcal{L}_1 adaptation allows for the fault detection and isolation task to be solved automatically without the need for reconfiguration of the existing inner-loop control structure of the nominal AP. As a result, the \mathcal{L}_1 augmented controller readjusts the control input that stabilizes the impaired airplane and uses the remaining control authority to steer the airplane along the

redefined path. Therefore, integrating \mathcal{L}_1 adaptation onboard increases the fault tolerance of the system.

Initial development of the control quality metrics resulted in establishing a set of qualitative measures that were verified experimentally in HIL and flight testing. It was experimentally shown in extensive HIL and flight testing that \mathcal{L}_1 augmented system exhibits uniform degradation of tracking performance in the presence of increasing severity of the CS failure. Theoretical and experimental study of the remaining control authority and robustness is in progress.

On the experimental side of the project several major milestones were achieved including development of a new HIL simulating capability for a Piccolo Plus AP that allows for advanced modeling of non-conventional airplane configurations and aerodynamics using convenience of Simulink development environment and control design toolboxes. Furthermore, new modification of the RFTPS was developed providing a rigorous capability of flight testing of the airplanes with complex failures of control surfaces in various flight regimes and configurations.

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